

509-324-9256 / fax 509-323-8979 / www.leeandhayes.com

lee & hayes plc, intellectual property law

421 West Riverside Avenue, Suite 500, Spokane, Washington 99201

lee & hayes

By Facsimile (703) 746-7239**Date: 7/9/2003****To: USPTO Customer Service****From: Emmanuel Rivera****Re: Appl. Num: 09/274,294**

The accompanying response to final office action was postmarked as received by the USPTO on 4/16/03; however, Examiner Taghi Arani (703) 305-4274 has indicated that the response was not entered into the file wrapper (not received). We are faxing the response. The Final Office Action was mailed 1/28/03, therefore the disposition on this case must be resolved by 7/28/03. The Examiner must provide us an Advisory Action so we are able to proceed. Thank you for your immediate attention.

24 pages including cover

This document and any attached documents are proprietary and confidential, and are intended only for the use of the parties named above. Use by any other party is prohibited. If you have received this communication in error, please notify us immediately by telephone and return the documents to the address listed above.

Received from < > at 7/9/03 11:18:04 AM [Eastern Daylight Time]

EV251222316

MS1-298US

Microsoft Corporation

04/16/2003

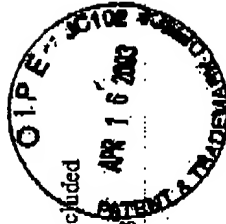
The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the documents enumerated below, relating to the following application for letters patent:

Serial No.: 09/274,294

Filing Date: 3/22/1999

Title: "System and Method for Trusted Inspection of a Data Stream"

Inventorship: Gunter et al.



1. Transmittal Form with Certificate of Express Mailing included
2. Fee Transmittal
3. Response to Final Office Action Dated January 28, 2003
4. Return Post Card

✓ LEE & HAYES, PLLC
(509) 324-9256

7/9/03
EV251222316Please type a plus sign (+) inside this box → ☐


PTO/SB/21 (08-00)

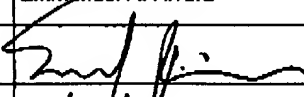
Approved for use through 10/31/2002. OMB 0851-0031

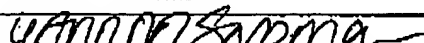
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/274,294
	Filing Date	Mar 22, 1999
	First Named Inventor	Gurter
	Group Art Unit	2131
	Examiner Name	ARANI, TAGHI T
Total Number of Pages in This Submission	Attorney Docket Number	MS1-298US

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Return Post Card
Remarks  22801 PATENT TRADEMARK OFFICE		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Emmanuel A. Rivera
Signature	
Date	4/16/03

CERTIFICATE OF EXPRESS MAILING		
I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as Express Mail, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. The Express Mail No. has also been marked on the listed items. Express Mail No.		
Typed or printed name	LeAnn M. Sassman	
Signature		Date 4/16/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

7/9/03
 251222316
 PTO/SB-07 (01-03)
 Approved for use through 04/30/2003. OMB 0651-0032
 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number 09/274,294
 Filing Date Mar 22, 1999
 First Named Inventor Gunter
 Examiner Name ARANI, TAGHI T
 Art Unit 2131
 Attorney Docket No. MS1-298US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

12-0769

Lee & Hayes, PLLC

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	18	
Multiple Dependent	-3** =	84	

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type) Emmanuel A. Rivera

Registration No. (Attorney/Agent)

45,760

(Complete if applicable)

Telephone (509) 324-9256

Signature

Date

4/16/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Received from < > at 7/9/03 11:18:04 AM [Eastern Daylight Time] completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

EV251222316

MS1-298US

Microsoft Corporation

04/16/2003

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the documents enumerated below, relating to the following application for letters patent:

Serial No.: 09/274,294

Filing Date: 3/22/1999

Title: "System and Method for Trusted Inspection of a Data Stream"

Inventorship: Gunter et al.

1. Transmittal Form with Certificate of Express Mailing included
2. Fee Transmittal
3. Response to Final Office Action Dated January 28, 2003
4. Return Post Card

LEE & HAYES, PLLC
(509) 324-9256

7/9/03 EV251222316

7/
B

(N.E.)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.09/274,294
Filing Date03/22/1999
InventorshipGunter et al.
AssigneeMicrosoft Corporation
Group Art Unit2131
ExaminerTaghi T. Arani
Attorney's Docket No.MS1-298US
Title: System and Method for Trusted Inspection of a Data Stream

RESPONSE TO FINAL OFFICE ACTION DATED JANUARY 28, 2003
PURSUANT TO 37 C.F.R. § 1.116

To: Commissioner of Patents and Trademarks
Washington, D.C. 20231

From: Emmanuel A. Rivera (Tel. 509-324-9256; Fax 509-323-8979)
Customer No. 22801



22801

PATENT TRADEMARK OFFICE

AMENDMENTS**In the Claims**

Claims 1-20 were originally filed.

Claims 3, 7, 12-19 were previously amended without prejudice.

No claims are canceled.

Accordingly, claims 1-20 are pending.

DO NOT
ENTER

T.A.

10/20/04